

# BEST AVAILABLE COPY

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**CONTINUED PROSECUTION APPLICATION (CPA)**  
**REQUEST TRANSMITTAL (Small Entity)**  
Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.  
D9429 **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

William Baumzweiger

Michael C. Astorino

3736

## Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

This is a request for filing a  continuation or application (CPA) of prior application number 09/503,656 filed on 02/14/2000 and entitled:

Brainstem and Limbic Disorder (BAJ.D)

1.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2.  A preliminary amendment is enclosed.
3.  This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:

b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4.  A new power of attorney or authorization of agent is enclosed.
5.  Small Entity Status:
  - a.  A small entity statement is enclosed.
  - b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

6.  The fee for this application is calculated as follows:

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	15	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$40.00	\$0.00
Multiple Dependent Claims (check if applicable)					\$0.00
				<b>BASIC FEE</b>	<b>\$370.00</b>
				<b>TOTAL FILING FEE</b>	<b>\$370.00</b>

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7.  The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-3823

fees required under 37 C.F.R. 1.16.  
 fees required under 37 C.F.R. 1.17.  
 fees required under 37 C.F.R. 1.18.

8.  A check in the amount of \$830.00 is enclosed.

9.  An Information Disclosure Statement (IDS) is enclosed:

a.  PTO-1449  
b.  Copies of IDS Citations

10.  Also enclosed:

11.  The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

Dr. William Baumzweiger  
c/o Bright & Lorig  
633 West Fifth Street, Suite 3330  
Los Angeles, CA 90071

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)**  
**(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))**

**NOTES**

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**FILED QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) 20 months or less from the filing date of the prior application, or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A notice will be placed on a patent issued from a CPA as a continuation or divisional application to the effect that the patent is issued from a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. 154(a)(2). Therefore, the prior application from which the CPA may have been filed before or on or after July 1, 1995.

**CPA NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d) (unless it is filed under 37 C.F.R. 1.53(b)).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional, or continuation-in-part application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to copies of, or information concerning, the prior application may be given similar access to copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: July 24, 2002

Patrick Bright for William  
Baumzweiger  
 Signature

Patrick F. Bright for William Baumzweiger  
 Typed or printed name

24,318

Registration Number (if applicable)

Inventor(s)  
 Assignee of complete interest  
 Attorney or agent of record

CC: